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On two dimensional non-abelian chiral lattice gauge theories in Ginsparg-Wilson formalism

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ABSTRACT: Defining chiral lattice gauge theories in the Ginsparg-Wilson formalism is complicated by the so-called fermion measure problem. It has been proven for the abelian theories that smooth well-behaved fermion measure exists if and only if the anomaly-free condition is granted, and the same was shown to hold in perturbative theories for non-abelian gauge groups, but the non-perturbative proof is absent. In this paper, we consider a simpler problem in 2-d and present a proof for the existence of smooth and gauge invariant fermion measure on the gauge field configuration space with zero field strengths for arbitrary compact Lie groups, provided the anomaly-free conditions are satisfied. It is conjectured that such consideration is sufficient for the unknown full proof.

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1 Introduction

Defining gauge theories with chiral fermion content on a finite lattice has been a longstanding difficult subject. The initial challenge stemmed from the infamous “fermion-doubling problem” which leads to the multiplication of fermion spectra in the continuum limit if simple-minded discretization for the Dirac operator is used. With the extra modes in the spectrum, they always form vector multiplets, preventing a lattice regularization for theories with chiral fermion contents [1].

Various methods of removing the fermion doublers are known. Each introduces new difficulties when solving the old one. As a general principle, explicit breaking of chiral symmetry on a finite lattice is a necessity so that the would-be “doublers” are endowed with a mass of the order of the inverse lattice spacing and eliminated in the continuum. Depending on the methods, it may require the fermion content to be vector-like to start with, certainly not a welcomed restriction for defining chiral theories. In fact, the lacking of the exact chiral symmetry on a finite system obscures the proper definition for “chiral theories” after all.

Ginsparg-Wilson formalism [2] stands out in this regard which earned itself lots of attentions from the community. A convenient feature of this formalism is that despite the ordinary chiral symmetry being broken, it allows one to define a new “chiral symmetry” on a finite lattice which approaches the usual one in the continuum limit. With respect to this

new “chiral symmetry”, the so-called Ginsparg-Wilson, or the overlap, “chiral fermions” can be defined and interesting theories for them are easily constructed, provided that they are not gauged.

Gauging the theory with Ginsparg-Wilson “chiral fermions” poses some serious new challenges. The difficulty is often referred to as the “fermion measure problem”. Even without gauging, the partition function for a chiral theory is well-defined only up to a pure phase. As long as such an ambiguous phase is independent from all physical fields, it never appears in the normalized correlation functions and therefore bears no physical significance. The moment gauge fields are present coupled to the Ginsparg-Wilson fermions, as explained below, the said ambiguous phase necessarily becomes a non-trivial functional of the gauge field configuration, leading to serious concerns. One must hope to find a way of defining this phase as such that it is a smooth, local, and gauge invariant functional of the gauge fields throughout the entire space of the so-called “permissible gauge field configurations”. Such a choice is referred to a “good fermion measure”, and when it exists, the phase ambiguity can be absorbed by adjusting the local counter-terms as the continuum limit is approached, a step needed in any case. However, if such a choice fails to exist, the theory is plagued and the functional integral for the gauge fields does not make any sense on the finite lattices.

It has been proven for abelian gauge theories that a good “fermion measure” exists if and only if the gauge anomaly cancellation condition

$$\sum_i q_{L,i}^2 = \sum_j q_{R,j}^2 \quad (1.1)$$

is satisfied [3–5]. Here $q_{L/R,i}$ are the charges of each fermion flavors indexed by i , and L/R refers to its chirality. This intriguing result, even though not at all surprising, certainly shed light on yet another interesting character of the Ginsparg-Wilson formalism, making it a theoretically appealing subject for further investigations. For the non-abelian gauge theories, however, the similar theorem is yet to be found. A perturbative proof was given in [6], showing that to all order of the perturbative expansion, it is indeed true that the existence of the “good fermion measure” coincides with the absence of gauge anomalies, but a full non-perturbative proof remains unknown.

While a complete understanding to the aforementioned result requires full knowledge of the permissible gauge field configuration space, a curious fact is that, in the abelian case, the sought coincidence can be understood to a great extent when most part of the gauge field configuration space is ignored [7, 8]. The anomaly cancellation condition emerges already if one studies the zero field strength configurations only. Furthermore, focusing on the homogeneous gauge field configurations appears to be sufficient. Finally, if one is willing to take one, perhaps a very big one, step backward and consider the same problem on 2-d lattices, the quoted theorem can be deduced with minimal efforts using some simple geometrical considerations. Of course, we know why these sequence of simplifications arise, the *permissible field configuration space* for the abelian gauge fields was found to be given by

$$\mathbb{U}[U(1)] = \mathbb{U}_0[U(1)] \times \mathbb{F}, \quad (1.2)$$

where $U_0[U(1)]$ is the space consists of all zero field strength configurations and the factor \mathbb{F} is contractible. The space $\mathbb{U}_0[U(1)]$ is further given by

$$\mathbb{U}_0[U(1)] = T^2 \times U(1)^{N^2-1}. \quad (1.3)$$

Here T^2 is a 2-dimensional torus describing homogeneous field configurations on a periodic lattice, and the remaining $U(1)$ factors correspond to gauge transformations. As we explain in the following sections, a series of reasoning leads to the conclusion that a “good fermion measure” exists on \mathbb{U} if and only if it does so on the T^2 factor.

Now, should we attempt to study the non-abelian gauge theories, the first thing to notice is that, in 2-d, the gauge anomaly cancellation condition takes a fairly similar form as (1.1) [12], which reads

$$\sum_i \text{tr } t_{L,i}^a t_{L,i}^b = \sum_j \text{tr } t_{R,j}^a t_{R,j}^b \quad (1.4)$$

where $t_{L/R,i}^a$ are the generators of the Lie algebra \mathfrak{g} for the gauge group G in the representation of each fermion flavor. A few steps of algebra show that the equality is secured as long as it holds true within any one of the Cartan subalgebra $\mathfrak{c} \subset \mathfrak{g}$. So, essentially, only the abelian subgroups in G contribute. This observation suggests that whatever that is known for the 2-d abelian chiral lattice theories might be easily generalized into the non-abelian ones.

We take a small step toward this direction in this paper, assuming the gauge group G is compact. Given our experience in the abelian case, we hope that studying the gauge field configuration space corresponding to the zero field strength is sufficient. To fully justify this simplification requires substantial more work and we must leave it to the future. However, we can demonstrate that the anomaly cancellation equation (1.4) does emerge already when attempts to construct a smooth and gauge invariant fermion measure over the space $\mathbb{U}_0[G]$ are made. Furthermore, just as in the abelian case, it is sufficient to construct the measure on the subspace of $\mathbb{U}_0[G]$ that corresponds to homogeneous gauge configurations only.

The result mainly relies on the fact that for an arbitrary compact semi-simple Lie group G , the space $\mathbb{U}_0[G]$ is given by

$$U_0[G] = S(G) \times G^{N^2-1} \quad (1.5)$$

where $S(G)$ is the space of the commuting pairs (g_1, g_2) , $g_1, g_2 \in G$, and the G^{N^2-1} factor corresponds to the gauge transformations. The space $S(G)$ can be further expressed as the product of a pair of the same maximal tori of G , denoted as T_k^2 , foliated by gauge orbits. Each gauge orbit appears as the conjugacy class of G quotient the Weyl group. T_k is a k -dimensional torus and $k = \text{rank} G$. The claim is finding a good fermion measure on T_k^2 ensures the existence of the same on $\mathbb{U}_0[G]$. To prove this, a small interesting Lemma 1 referred to as the “non-abelian Stoke’s theorem” by us, has been used.

We should mention that it might sound ridiculous that one feels comfortable to concentrate his attention to zero field strength gauge configurations only, since the true dynamics are all about non-zero field strengths. This, however, is a slight misconception. Recall that one is ultimately interested in the continuum limit where each lattice plaque effective

becomes a point. One certainly would expect the Wilson-loop around a single point be trivial, or the gauge field is singular. Consequently, the lattice simulation is done only over the gauge field configurations whose Wilson-loop around each plaquette is bounded by a small number ϵ . In other words, on a finite lattice, the permissible gauge field configuration space is a small bounded region surrounding the slice $\mathbb{U}_0[G]$ as we would elaborate slightly further in Sec. 3. While this suggests considering the trivial-sounding space $\mathbb{U}_0[G]$ is not nonsensical, it is not a rigorous proof either since ϵ is not infinitesimal on a given finite lattice. One may hope to use the topological nature of the proof, as explained below, to extract a complete proof by taking the limit of the lattice spacing and ϵ to zero continuously.

Some may also wonder if this discussion is worthwhile at all since the perturbative proof for the non-abelian theories is known. Wouldn't zero field strength configurations correspond to just the zero-th order term in a perturbative expansion? The reason that this is not true is even when the field strengths vanish, there are nontrivial gauge field configurations corresponding to "large" Wilson-loops. In fact, from our experiences from the abelian theories, it is precisely the considerations of these large Wilson-loops rather than the nonzero field strengths that lead to the emergence of the anomaly cancellation condition.

The rest of the paper is organized as the following. We start in Sec. 2.1 with a brief introduction to the Ginsparg-Wilson formalism and the so-called fermion measure problem when the theory is gauged. In section 3.1 we review the solution to this problem in the abelian case and the emergence of the anomaly-free condition. Without complete justifications, we attempt to generalize the result to non-abelian theories by restricting the gauge group G onto one of its maximal torus in 3.2. In section 4, we prove the claim (1.5), and, in Sec. 4.2, discuss an explicit toy example for $G = SU(2)$. We finish the paper with additional discussions in section 5. A simple proof for a funny theorem called the "non-abelian Stoke's theorem" is presented in App. A.

Let us settle the notations and terminologies in this paper. We study chiral theories on a 2-d square lattice denoted as \mathbb{L} throughout the rest of the discussions. The size of \mathbb{L} is always assumed to be $N \times N$. Fermions are Grassmann fields living on the vertices whose coordinates are specified by a pair of integers as $m = (x, y)$ and $x, y \in [0, N - 1]$. The notation $\hat{\mu}$ denotes the unit vector in the μ -th direction, and so $m + \hat{\mu}$ is the neighboring vertex of m one unit of lattice spacing to its right if $\mu = 1$ or above if $\mu = 2$. Links in \mathbb{L} can be labeled by $l_\mu(x)$ which is the link between the vertices x and $x + \hat{\mu}$, pointing from the former to the latter. Gauge fields live on the links. Depending on the representations, assumed to be unitary throughout, for each fermion, the corresponding link field on $l_\mu(x)$ will be denoted as $U_\mu^{R_i}(x)$, a unitary matrix representing the elements of G in the representation R_i . For brevity, we often omit the superscript specifying the representation, particularly when attention is paid only to a single fermion flavor, and freely refer to $U_\mu(x)$ either as the group element or the corresponding matrix representation. One must be cautious though that on an identical gauge field background, the corresponding matrices for the link field differ for different fermion flavors, a detail assumed understood

implicitly in most part of this paper. Gauge transformations are generated by a group element valued function $\omega(x)$ living on the vertices, and the link fields transform as

$$U_\mu(x) \rightarrow \omega(x + \hat{\mu})U_\mu(x)\omega(x)^{-1}.$$

Occasionally, we may also refer to the links pointing in the opposite directions as $l_{-\mu}(x)$ and correspondingly $U_{-\mu}(x + \hat{\mu}) = U_\mu(x)^\dagger$. We say the gauge field configuration has zero field strength if the Wilson-loop around every plaquette in \mathbb{L} is trivial. Periodic boundary conditions are assumed to all fields, and the lattice spacing is fixed to be 1.

2 The Ginsparg-Wilson formalism and the fermion measure problem

We briefly review the Ginsparg-Wilson formalism, focusing only on the most directly relevant results and refer the interested readers to the literatures [2, 9, 10] and the references therein for more details. We first explain the modified chiral symmetry that is exact on finite lattices and the definition for Ginsparg-Wilson chiral fermions, without introducing the gauge fields, and then present the topological question one must address for defining consistent chiral gauge theories in the same framework, dubbed as the “fermion measure problem”.

2.1 A brief review of Ginsparg-Wilson chiral fermions

To eliminate the doublers, the “old chiral” symmetry is broken on lattices by substituting in the Lagrangian the so-called Ginsparg-Wilson operator in the places of the Dirac operator, which obeys the Ginsparg-Wilson relations:

$$\{D, \gamma_5\} = D\gamma_5D, \quad (D\gamma_5)^\dagger = D\gamma_5. \quad (2.1)$$

Here, $\{*, *\}$ is the anti-commutator. The first equation implies that in the continuum limit D anti-commutes with γ_5 as the right-hand side is of the higher order of momenta and vanishes in the continuum limit. The second ensures D is Hermitian in the same limit. Now that D fails to anti-commute with γ_5 , the ordinary definition for chirality ceased to be useful. However, one may define a “new γ_5 operator” by

$$\hat{\gamma}_5 = (1 - D)\gamma_5$$

which approaches γ_5 in the continuum for the same reason as just mentioned, and, by the Ginsparg-Wilson relations,

$$\hat{\gamma}_5^\dagger = \hat{\gamma}_5 \quad \hat{\gamma}_5^2 = 1.$$

So it is indeed similar to γ_5 , whose only eigenvalues are ± 1 . Consequently $\text{Tr}\hat{\gamma}_5$ is an integer that can not vary smoothly with respect to any continuous parameters and must vanish in the trivial topological sector. Thus

$$\text{Tr}\hat{\gamma}_5 = \text{Tr}'\gamma_5,$$

where Tr' refers to the regularized trace in the continuum limit, as long as the regularization and renormalization procedure is continuous. These equations combined led to

$$\hat{\gamma}_5 D + D \gamma_5 = 0, \quad (2.2)$$

from which one discovers the new exact “chiral symmetry”. Consider, for example, the theory of a single Dirac fermion described by the Lagrangian $\mathcal{L} = \bar{\psi} D \psi$. The action is manifestly invariant under the “axial” rotation:

$$\bar{\psi} \rightarrow \bar{\psi} e^{i\theta \hat{\gamma}_5}, \quad \psi \rightarrow e^{i\theta \gamma_5} \psi. \quad (2.3)$$

The transformation, however, is not unitary if $\text{Tr} \hat{\gamma}_5 \neq 0$, in which case, the Jacobi is given by

$$J^{-1} = 1 + \theta \text{Tr} \hat{\gamma}_5.$$

This is the manifestation of the axial anomaly on a finite Lattice. Recall that $\text{Tr} \hat{\gamma}_5 = n_+ - n_-$, where n_+ and n_- are the number of eigen-modes for $\hat{\gamma}_5$ corresponding to the eigenvalues ± 1 respectively, and, in the continuum limit, is exactly the regularized trace of γ_5 , or the index of the Dirac operator.

Given the exact chiral symmetry just defined, theories for chiral fermions can be constructed using the “chiral projection operators”:

$$\hat{P}_{\pm} = \frac{1 \pm \hat{\gamma}_5}{2}, \quad P_{\pm} = \frac{1 \mp \gamma_5}{2},$$

where \pm denotes the left or right-handed chiralities respectively. The Lagrangian for a single chiral fermion may be expressed formally as $\mathcal{L} = \bar{\psi} \hat{P}_+ D P_+ \psi$. By equation (2.2), one may omit either one of the two projection operators in the Lagrangian. To define a non-vanishing partition function, one must restrict the functional integral for $\bar{\psi}$ and ψ to be within the +1-eigenspace of \hat{P}_+ and P_+ respectively. More explicitly, one chooses a set of orthonormal eigenvectors u_i and v_i such that $\hat{P}_+ u_i = u_i$ and $P_+ v_i = v_i$, where i runs from 1 to the half of the dimension of the Hilbert space of both $\bar{\psi}$ and ψ , and define the partition function by

$$Z = \int \prod_{i,j} d\bar{c}_i d c_j e^{\bar{c}_i c_j u_i^\dagger D v_j}. \quad (2.4)$$

Here, \bar{c}_i and c_j are two sets of Grassmann variables.

Such a partition function is not uniquely defined since there exist infinitely many choices for the orthonormal basis and equation (2.4) is not independent from such freedoms. Should we choose $u'_i = \mathcal{U}_{ij} u_j$, where \mathcal{U}_{ij} is a unitary matrix, defining Z as given above leads to an result that differs by a factor of $\det(\mathcal{U}_{ij})$, which is a pure phase.

Without introducing the gauge fields, this phase ambiguity is easily accommodated. In fact, it is always present in any chiral theory whenever the fermion representation is complex. It always disappears, on the other hand, in all physical observables determined by normalized correlation functions.

2.2 The topological obstruction for defining chiral gauge theories

Gauging the theory, however, faces some serious challenges. With gauge fields $U_\mu(x)$ included, the Ginsparg-Wilson operator D is covariantized, of which an explicit realization is given later, such that under the gauge transformation $U_\mu(x) \rightarrow \omega(x + \hat{\mu})U_\mu(x)\omega(x)^{-1}$, the operator transforms as $D_{mn} \rightarrow \omega(m)D_{mn}\omega(n)^{-1}$. The same property is automatically shared by both \hat{P}_\pm and $\hat{\gamma}_5$. Once covariantized, the kinetic term for a single chiral fermion in a particular unitary representation of G may be defined by

$$\mathcal{L} = \bar{\psi}_+ D \psi_+,$$

where $\bar{\psi}_+$ and ψ_+ are Grassmann valued eigenvectors of $\hat{\gamma}_5$ and γ_5 with eigenvalue $+1$ and -1 respectively.

Once again, to define the partition function, a set of orthonormal basis (u_i, v_i) is chosen, and the functional integral (2.4) is uniquely determined up to a phase angle. In the current scenario, however, this ambiguous phase of Z is necessarily gauge field dependent simply because the operator \hat{P}_+ is, and, as gauge field varies, its eigenvectors can not stay fixed and the corresponding eigenspace rotates in a non-trivially way.

Instead of being a simple constant phase that has no physical consequences, the phase of Z is a functional of the gauge fields now. More generally speaking, if $\mathbb{U}[G]$ is the permissible gauge field configuration space, the phase ambiguity of Z leads to a $U(1)$ bundle over $\mathbb{U}[G]$ that locally appears as $U(1) \times V$, where V is a small patch of $\mathbb{U}[G]$. Making a specific choice for the phase for Z at each gauge field configuration point amounts to finding a global section in the said bundle, and is often referred to as choosing “a fermion measure” in the literatures.

Working with the eigenvectors u_i is often cumbersome and overly complicates the problem. More convenient is to consider its variation with respect to the gauge field configuration for the following reason. Let Z be the partition function for an arbitrary (generically interacting) chiral theory on the lattice in the Ginsparg-Wilson formalism given by

$$Z = \int \prod d\bar{c}_i d c_j e^{S[\bar{c}_i u_i, c_j v_j, U_\mu(x), O]},$$

where O represents collectively the operators that appear in the theory. If χ_a is a set of coordinates on the gauge field configuration space \mathbb{U} , it has been proven in [8] that

$$\partial_a \ln Z = \sum_i \left(\partial_a u_i^\dagger u_i + v_i^\dagger \partial_a v_i \right) + \left\langle \frac{\delta S}{\delta O} \partial_a O \right\rangle,$$

where $\partial_a \equiv \partial/\partial\chi_a$. Apart from the usual contributions expected, given by the last term, an extra piece

$$j_a = \sum_i \left(\partial_a u_i^\dagger u_i + v_i^\dagger \partial_a v_i \right)$$

emerges solely due to the variation of the eigenvectors u_i and v_i . j_a is often named the “measure current” since it captures completely the arbitrariness of the phase of Z caused

by the free choices of (u_i, v_i) . Imagine that a different orthonormal frame $u'_i = \sum_j \mathcal{U}_{ij} u_j$ is adopted, j_a shifts by a total derivative as

$$j'_a = j_a + \text{Tr}(\partial_a \mathcal{U}^\dagger \mathcal{U}) = j_a - \partial_a \ln \det U.$$

This means j_a defines a connection on the $U(1)$ bundle over \mathbb{U} . Therefore, in the rest of the paper, we shall call it more appropriately the “measure connection”. It is a well-known mathematical fact that a smooth global section on the said bundle exists only if a smooth connection can be defined.

Now, it comes one of the most peculiar properties of the Ginsparg-Wilson formalism. Even though the measure connection j_a is never unique, the curvature tensor it defines is in fact fully determined by the Ginsparg-Wilson operator, with no ambiguity or singularities whatsoever, since

$$\mathcal{F}_{ab} = \partial_a j_b - \partial_b j_a = \text{Tr} P[\partial_a P, \partial_b P]. \quad (2.5)$$

A quick way of proving this is by noticing that $P_+ = \sum_i u_i u_i^\dagger$.

It is well-known that the 2-form $\mathcal{F} = \mathcal{F}_{ab} d\chi^a \wedge d\chi^b$ integrated over a closed 2-cycle is quantized. Given the fact that \mathcal{F}_{ab} is well defined globally on \mathbb{U} without any singularities, it must be true that

$$\int_\tau \mathcal{F} = 0 \quad (2.6)$$

on any 2-cycles that can be continuously deformed into either a single point or any lower dimensional cycles. On the other hand, if there is a 2-cycle τ in \mathbb{U} over which

$$\int_\tau \mathcal{F} > 0,$$

possible only if τ is non-contractible of course, it indicates immediately that no smooth connection j_a on the entirety of \mathbb{U} can ever be found, or, by the Stoke’s theorem, one must conclude $\int_\tau \mathcal{F} = \int_{\partial\tau} j = 0$ since $\partial\tau = 0$. Hence, a non-zero integral of \mathcal{F} over any 2-cycle presents a true topological obstruction for defining the associated chiral lattice gauge theories in the Ginsparg-Wilson formalism.

Therefore, our task is to fully understand the topology of $\mathbb{U}[G]$, find all possible non-contractible 2-cycles in it, evaluate the integral (2.6) over each, and make sure it vanishes always. Provided this can be done, one still must further verify that the measure can be chosen to stay invariant along the gauge orbits in $\mathbb{U}[G]$ so that the partition functions are gauge invariant, and, along other directions, while it can vary, it must do so in the manner that it is expressible as a smooth gauge invariant local expressions so that the arbitrariness for the choice of “fermion measure” amounts only to an arbitrary local counter-term to be removed as the theory is renormalized along its way toward the continuum limit.

All the steps above have been fully established for the abelian gauge theories, and the program proved successful if and only if the fermion content are chosen so that the anomaly-free condition (1.1) is satisfied. For non-abelian gauge theories, only a perturbative proof was known. In this paper, we make one step toward the full solution for the non-abelian gauge theories in 2-d by considering the same questions on a subset of \mathbb{U} , and we conjecture that this consideration is sufficient.

3 Solving the measure problem when gauge anomaly cancels

It has been observed that the coincidence of the absence of topological obstruction explained above and the anomaly-free condition has a simple geometrical interpretation in 2-d [7, 8], focusing on an exceedingly restricted gauge configuration space. An immediately natural step to take is to generalize those found for the abelian theories to the non-abelian ones.

Before we do so, a few words about the “permissible gauge field configuration space $\mathbb{U}[G]$ ” are in order. Naively, on a 2-d square lattice, one may think that the “full” gauge field configuration space is simply G^{2N^2} since $2N^2$ is the total number of the links. This turns out to be not true. The space G^{2N^2} is too large containing more cycles that are irrelevant. Since ultimately, one is interested in taking the continuum limit, the gauge field configurations should be confined within a subspace such that the field strength in each plaquette is bounded as

$$|\ln \text{tr} f(p)^2| < \epsilon$$

so they do not produce overly many topological copies as approaching the continuum. Field configurations within such bound is what we called the “permissible configurations”, and they form the space $\mathbb{U}[G]$ that is discussed throughout this paper.

Being a subspace of G^{2N^2} , when ϵ is sufficiently small, its topology is much simplified. This is essentially the origin to equation (1.2). Most generally, if we assume G^{2N^2} is non-singular in a small enough domain surrounding the slice formed by the field configurations of zero field strengths, denoted as $\mathbb{U}_0[G]$, near that slice, it always takes the form of $\mathbb{U}_0[G] \times \mathbb{F}$ where \mathbb{F} is contractible. This is proven for $G = U(1)$ but remain unchecked more generally. If we take the position that this fact, or a somewhat modified version of it, remains hold for non-abelian G , within a range of ϵ , we find considering the much simpler problem in \mathbb{U}_0 is justified, since it is a well-known mathematical fact that a smooth connection exists in the $U(1)$ bundle over $\mathbb{U}_0 \times \mathbb{F}$ if and only if it does so in the same over \mathbb{U}_0 provided that \mathbb{F} is contractible. We hope to fully investigate the space \mathbb{F} closely in the future.

We remind the readers again that considerations on \mathbb{U}_0 is not just the zero-th order in a perturbative expansion since there are important “large” Wilson-lines on such gauge backgrounds.

From now on, we focus only on $\mathbb{U}_0[G]$, and the rest of the paper is devoted to first reviewing briefly $\int_{\tau} \mathcal{F} = 0$ on the T^2 factor for $G = U(1)$, then showing to what extent one may reproduce the same kind of calculation when G is non-abelian and how the gauge anomaly cancellation formulae arise in a similar manner, and finally presenting the detailed analysis of $\mathbb{U}_0[G]$ and proving equation (1.5).

To be specific, the covariantized Ginsparg-Wilson operator is defined as the following. The gauge group G is assumed to be a semi-simple compact Lie group, and the fermions are in some of its unitary representation. For each fermion species, we define

$$\begin{aligned} X_{mn} = & \frac{1}{2} \sum_{\mu} \gamma_{\mu} \left(\delta_{m+\hat{\mu},n} U_{\mu}(m) - \delta_{m,n+\hat{\mu}} U_{\mu}^{\dagger}(n) \right) \\ & + \frac{1}{2} \sum_{\mu} \left(\delta_{m,n+\hat{\mu}} U_{\mu}^{\dagger}(n) + \delta_{m+\hat{\mu},n} U_{\mu}(m) \right) - 1, \end{aligned} \tag{3.1}$$

where m and n refers to lattice vertices, and then the Ginsparg-Wilson operator

$$D = 1 - \frac{X}{\sqrt{XX^\dagger}} \gamma_5.$$

The square-root of the Hermitian matrix XX^\dagger is defined by taking the positive roots for each of the eigenvalues of XX^\dagger . Evidently D satisfies the Ginsparg-Wilson relations (2.1). It follows that $\hat{\gamma}_5$ is given by

$$\hat{\gamma}_5 = \frac{X}{\sqrt{XX^\dagger}}.$$

They are covariant operators in the sense that under the gauge transformation

$$U_\mu(m) \rightarrow \omega(m + \hat{\mu}) U_\mu(m) \omega(m)^{-1}, \quad \text{for } m \in \mathbb{L}, \mu = 1, 2,$$

they transform as

$$D_{mn} \rightarrow \omega(m) D_{mn} \omega(n)^{-1}, \quad \hat{\gamma}_5 \rightarrow \omega(m) \hat{\gamma}_5 \omega(n)^{-1}.$$

3.1 The abelian story: wrapping a torus on a sphere

For abelian group, the space $\mathbb{U}_0[U(1)]$ was known to take the form of $T^2 \times U(1)^{N^2-1}$, where the torus T^2 describes the two gauge invariant measures given by the so-called nontrivial Wilson-lines across the lattice:

$$w_\mu = \prod_{s=0}^{N-1} U_\mu(x + s\hat{\mu}).$$

The choice of x is irrelevant. Obviously $w_\mu = \exp\{i\theta_\mu\}$ where $\theta_\mu \in [0, 2\pi)$. A typical field configuration corresponding to a set of (w_1, w_2) is given by

$$U_\mu(x) = e^{i\theta_\mu/N}. \quad (3.2)$$

Certainly, infinitely many other zero field strength configurations corresponding to the same w_μ exist but they are all related to each other by gauge transformations summarized by the $U(1)$ factors of \mathbb{U}_0 . Ignoring the gauge transformations, to be justified in section 3.3, the simple homogeneous field configuration is all we need to care about.

On translationally symmetric backgrounds, everything is most conveniently expressed in the momentum space, in which the operators are block diagonal. On an $N \times N$ periodic lattice, momenta are periodic variables between 0 and π (as normalized in [8]) and discretized in the units of π/N . Setting $\theta_\mu = 0$ at the moment, the Ginsparg-Wilson operator D given above turns into

$$D_0(\vec{p}, \vec{q}) = \delta_{\vec{p}, \vec{q}} d(\vec{p})$$

in the momentum space [8, 11], where

$$d(\vec{p}) = \begin{pmatrix} a(\vec{p}) & ic(\vec{p}) + b(\vec{p}) \\ ic(\vec{p}) - b(\vec{p}) & a(\vec{p}) \end{pmatrix}$$

and

$$\begin{aligned}
a(\vec{p}) &\equiv 1 - \frac{1 - 2s(p_1)^2 - 2s(p_2)^2}{v(\vec{p})} \\
b(\vec{p}) &\equiv \frac{s(2p_2)}{v(\vec{p})} \\
c(\vec{p}) &\equiv \frac{s(2p_1)}{v(\vec{p})} \\
v(\vec{p}) &\equiv \sqrt{1 + 8s(p_1)^2 s(p_2)^2} \\
s(x) &\equiv \sin x, \quad c(x) \equiv \cos x.
\end{aligned}$$

Turning on the homogeneous background (3.2) is easy. Substituting $U_\mu(x) = \exp\{q\theta_\mu/2N\}$ ¹ in (3.1) is evidently equivalent to shifting the momentum variable p_μ by a constant $q\theta_\mu/(2N)$. Therefore

$$D(\vec{p}, \vec{q}, \vec{\theta}) = D_0 \left(\vec{p} + \frac{q\vec{\theta}}{2N}, \vec{q} + \frac{q\vec{\theta}}{2N} \right).$$

Now we can calculate \mathcal{F} and its integral explicitly. Surely the only interesting 2-cycle on T^2 is T^2 itself and so the only integral to check is $\int_{T^2} \mathcal{F}$.

It turns out that even such a simple calculation one can be excused from. What really matters here is that the operators are block diagonal in the momentum space, so for each momentum mode, D , P_+ , and $\hat{\gamma}_5$ are simple 2×2 matrices. Particularly, $\hat{\gamma}_5(\vec{p}, \vec{\theta})$ is a 2×2 Hermitian matrix satisfying $\hat{\gamma}_5^2 = \mathbf{1}$ and $\text{tr} \hat{\gamma}_5 = 0$. Any 2×2 matrix of such kind can be represented by

$$\hat{\gamma}_5(\vec{p}, \vec{\theta}) = \hat{n}(\vec{p}, \vec{\theta}) \cdot \vec{\sigma},$$

where \hat{n} is a 3-dimensional unit vector whose tip sits on a 2-sphere. $\vec{\sigma} = (\sigma_1, \sigma_2, \sigma_3)$ are the Pauli matrices. A few steps of calculation show

$$\mathcal{F}_{\mu\nu} d\theta_1 \wedge d\theta_2 = \text{Tr} P_+ [dP_+, dP_+] = \frac{i}{2} \sum_{\vec{p}} \vec{w} \cdot (d\vec{w} \times d\vec{w}).$$

Each term in the above summation is a projected area form on the unit sphere. So the 2-form $\Sigma(\vec{\theta}) = \sum_{\vec{p}} \vec{w}(\vec{p}, \vec{\theta}) \cdot [d\vec{w}(\vec{p}, \vec{\theta}) \times d\vec{w}(\vec{p}, \vec{\theta})]$ defines the same that is periodic in $\vec{\theta}$ because the left-hand side is. Obviously

$$\int_{T^2} \Sigma(\vec{h}) = 2\pi n_w,$$

where $n_w \in \mathbb{Z}$ is the wrapping-number representing the times the unit sphere is wrapped over by T^2 determined by the mapping $\hat{n}(\vec{\theta})$. To find n_w , it suffices to investigate the mapping at some particular point that is most convenient. In this case, it is around the north pole on S^2 when $\hat{n} = (0, 0, 1)$. This is reached only by setting $\vec{\theta} = 0$ when $q = 1$, so one immediately finds $n_w = 1$. For $q > 1$, nearby each point $\theta_\mu = 2\pi i_\mu/q$, for $i_\mu = 0, 1, \dots, q-1$, the operator D appears identical and so we must find

$$n_w = q^2.$$

¹Recall that, specified for each flavor, the charge q needs to be restored.

Considering multiple flavors with different charges and the proper signs for fermions of either chirality, we arrive at the anomaly-free formula

$$\int_{T^2} \mathcal{F} = 0 \quad \text{if and only if} \quad \sum_i q_{L,i}^2 = \sum_j q_{R,j}^2,$$

as advertised.

3.2 A first attempt to attack the non-abelian theories

Let us try to generalize the above result to non-abelian theories for as much as we can. For abelian groups, homogeneous field configuration automatically has zero field strength. The same is not true in the non-abelian case except when the two Wilson-lines winding \mathbb{L} in both directions commute. More careful analysis is presented in Sec. 3.2, but right now, this prompts us to consider the simplest possible configurations given by

$$U_\mu(x) = \exp\{i\theta_\mu t_\mu/N\}, \quad \mu = 1, 2, \quad (3.3)$$

where t_1 and t_2 are two element in the Lie algebra \mathfrak{g} for G chosen to commute. This field configuration produces the Wilson-lines $(w_1, w_2) = (\exp\{\theta_1 t_1\}, \exp\{\theta_2 t_2\})$ winding the lattice in both directions. In other words, we choose to completely ignore the non-abelian nature of G and focus only on one of its maximal abelian subgroup. Such a subgroup is the maximal torus of G , which we denote as T_k in the following. It is a k -dimensional torus where $k = \text{rank}G$. Correspondingly t_1 and t_2 are members in the Cartan subalgebra $\mathfrak{c} \subset \mathfrak{g}$. Both the maximal tori and the Cartan subalgebra are not unique, but different ones are isomorphic and choosing an arbitrary pair leads to equivalent results.

We note that even with the condition w_1 and w_2 commute, field configuration (3.4) is overly restrictive since both t_μ , while commuting, can in principle be θ_μ dependent, corresponding to letting w_μ wander from one maximal torus to another as θ_μ vary. Such a complication will be shown to be removable by gauge transformations.

On the background (3.3), all the work done in the previous section is easily duplicated. Given t_1 and t_2 commute, in an appropriate basis, they can be simultaneously diagonalized. Consequently, $U_\mu(x)$ are diagonal matrices with respect to the group indices as

$$U_\mu(\theta_\mu, x) = \begin{pmatrix} e^{i\theta_\mu/Nt_\mu^1} & & & \\ & e^{i\theta_\mu/Nt_\mu^2} & & \\ & & \ddots & \\ & & & e^{i\theta_\mu/Nt_\mu^d} \end{pmatrix}, \quad (3.4)$$

where t_μ^i are the i -th diagonal entry of t_μ and d is the dimension of the representation. Notice that the assumption that t_μ are constants plays a vital role here, since if they do vary with θ_μ , even though $t_1(\theta_\mu)$ and $t_2(\theta_\mu)$ are simultaneously diagonalizable at each fixed θ_μ , generically the diagonal form can not be kept as θ_μ vary.

Evidently, entry by entry, inserting a factor (3.4) in (3.1) amounts to shifting the momentum \vec{p} by a constant of $t_\mu^i \theta_\mu$ just like in the abelian case. It is as if we have a $U(1)$

gauge field with d -multiple of fermion species, each has an effective charge t_μ^i . The only difference here is that a single fermion species seemingly has different effective charges with respect to the gauge field along different dimensions, something impossible in the genuine $U(1)$ theory. This minor discrepancy does not affect the calculation much. So, a single fermion species contribute a term to n_w as

$$n_w \text{ by each fermion multiplet} = \sum_i^d t_1^i t_2^i = \text{tr } t_1 t_2.$$

and the vanishing of the total wrapping number is precisely given by equation (1.4). Once again, this result is derived with the assumption that t_1 and t_2 lie in one copy of the Cartan subalgebra of \mathfrak{g} , but this is sufficient to prove the same holds for all $t \in \mathfrak{g}$.

3.3 The justification for omitting the gauge transformations

We fill in one remaining gap in the above reasoning here, that is directions in \mathbb{U}_0 corresponding to gauge transformations can be ignored.

Let λ_a be a set of coordinates parameterizing the gauge group G . A general gauge transformation is specified by the functions $\lambda_a(x)$ where x is the vertex coordinate in \mathbb{L} , so a gauge transformation labeled by $\lambda_a(x)$ is generated by the group valued function $\omega(x) = g(\lambda_a(x)) \in G$. To compress the notations, we may also write the coordinates as $\lambda_{a,x}$. Suppose the space \mathbb{U}_0 is endowed with a set of coordinates $(w_a, \lambda_{b,x})$, where w_a specify the directions “perpendicular” to the gauge orbits and $\lambda_{b,x}$ parameterize each gauge orbits or the slices in \mathbb{U}_0 generated by gauge transformations². When no confusion caused, we suppress the subscript for w_a .

We assume that the slice in \mathbb{U}_0 at $\lambda_{a,x} = 0$ for all (x, a) corresponds to the gauge choice that the gauge field configuration is translationally symmetric as given in (3.2) or (3.4), and denote it as \mathbb{W}_0 .

The goal is to prove that given a smooth connection j_w° on the subspace \mathbb{W}_0 , one can always extend it to \mathbb{U}_0 , including the definition for the new components $j_{\lambda_{a,x}}$, which enjoys the properties:

- i) Both j_w and $j_{\lambda_{a,x}}$ are smooth on \mathbb{U}_0 , and
- ii) j_w and $j_{\lambda_{a,x}}$ are gauge invariant, i.e. they are $\lambda_{a,x}$ -independent.

Let the smooth connection on \mathbb{W}_0 be given by the set of eigenvectors $u_i^\circ(w; x)$ and $v_i^\circ(x)$ ³, so on \mathbb{W}_0

$$j_w^\circ = \sum_i \partial_w u_i^{\circ\dagger}(w'; x) u_i^\circ(w'; x).$$

A superscript “ \circ ” indicates quantities evaluated on the slice \mathbb{W}_0 . Clearly, v_i° can be chosen to be w -independent. Moving away from the point $\lambda_{a,x} = 0$, the gauge field changes by

²More rigorously there are usually constraints among $\lambda_{b,x}$ but this does not matter.

³A noteworthy fact is that these vectors are *never* smooth functions of the gauge field configurations even when the anomaly-free condition satisfied, a fact that we can not elaborate on here. But a quick augment for it is that should they be chosen so, one may keep one fermion species only and drop all the rest and nothing would prevent the vectors u_i to remain smooth, consequently giving rise to a smooth connection j_a even with anomalous field content. Only the connection j_a or the corresponding phase function of Z might be smooth.

gauge transformations generated by $\omega(x) = g(\lambda_{a,x})$. Since the operators $\hat{\gamma}_5$ is covariant, the new eigenvectors are easy to find and we may choose

$$u_i(w, \lambda_{x',a}; x) = g[\lambda_a(x)] u_i^\circ(w; x), \quad v_i(w, \lambda_{x',a}; x) = g[\lambda_a(x)] v_i^\circ(w; x).$$

Certainly, this is not a unique choice but happens to be the one we would use. Obviously, with such set of basis, $j_w = j_w^\circ$ on the entire \mathbb{U}_0 , and so is both smooth and gauge invariant.

The new components for the measure connection along the directions of the gauge orbits are given by

$$j_{\lambda_{a,x}} = \sum_i \left\{ u_i^{\circ\dagger} \left[\partial_{\lambda_{a,x}} g(\lambda_a(x))^\dagger \right] g(\lambda_a(x)) u_i^\circ + v_i^{\circ\dagger} g(\lambda_a(x))^\dagger \left[\partial_{\lambda_{a,x}} g(\lambda_a(x)) \right] v_i^\circ \right\}.$$

Using the fact that

$$\sum_i (u_i^\circ u_i^{\circ\dagger} - v_i^\circ v_i^{\circ\dagger}) = \hat{P}_{+xx}^\circ - P_{+xx}^\circ = \frac{1}{2}(\hat{\gamma}_{5xx}^\circ + \gamma_{5xx})$$

we find

$$j_{\lambda_{a,x}} = \frac{1}{2} \text{tr} \left[\partial_{\lambda_{a,x}} g(\lambda_a(x))^\dagger g(\lambda_a(x)) (\hat{\gamma}_{5xx}^\circ + \gamma_{5xx}) \right].$$

Here “tr” denotes the trace over both the fermionic and group indices at a fixed lattice position x .

When the gauge group is abelian, $\partial_{\lambda_{a,x}} g^\dagger g = q$ is a c -number and can be pulled out out the trace. Using the fact that gauge field configurations on \mathbb{W}_0 are homogeneous with zero field strength, we find

$$\hat{\gamma}_{5xx}^\circ + \gamma_{5xx} = \frac{1}{N^2} \text{Tr}(\hat{\gamma}_5^\circ + \gamma_5) = 0.$$

Therefore, $j_{\lambda_{a,x}} = 0$, which obviously satisfy both properties required.

When the group G is non-abelian, we would have to resort to the simplification that the link fields on \mathbb{W}_0 are chosen to sit within a maximal abelian subgroup of G , and by choosing the basis appropriately, the link fields are simple diagonal matrices as given in equation (3.4). On such backgrounds, with respect to the group indices $\hat{\gamma}_{5xx}^\circ$ is diagonal and each diagonal entry must read identical to the same operator in the abelian theory with only the fermion charge q replaced by the diagonal entries t_μ^i .

In the meanwhile, $t = (\partial_{\lambda_{a,x}}) g^{-1} g$ is an Lie algebra element in \mathfrak{g} . Now that both $\hat{\gamma}_{5xx}$ and γ_{5xx} are diagonal with respect to the group indices, only the diagonal part of t matters once the trace is taken. Once again, we are ready to recycle the known results from the abelian theories since we have essentially expressed the connection $j_{\lambda_{a,x}}$ as a sum of many copies, each obtained effectively from an abelian theory. It follows that $j_{\lambda_{a,x}} = 0$.

Therefore, it is sufficient to construct a smooth measure connection on \mathbb{W}_0 , if only that the space $\mathbb{U}_0[G]$ can be parameterized as assumed in the beginning of this subsection even for non-abelian groups. We turn to this topic next.

4 The field configuration space of zero field strength for non-abelian G

In this section, we shall investigate the gauge field configuration space of zero field strength for an arbitrary non-abelian gauge group G , denoted as $\mathbb{U}_0(G)$, and prove the main result mentioned around (1.5). A more explicit toy example for $G = SU(2)$ is presented in the end for entertaining.

4.1 The space $\mathbb{U}_0[G]$

We aim at describing the space $\mathbb{U}_0[G]$ for non-abelian gauge group in a similar manner as in the abelian case. The objects that we are interested in for any gauge field configuration is the Wilson-lines along some path in \mathbb{L} formed by a sequence of consecutive links $l_i(x_i)$, $i = 1, 2, \dots, s$, defined by the path-ordered product

$$w_{\mathcal{C}} = U_{\mu_s}(x_s)U_{\mu_{s-1}}(x_{s-1}) \dots U_{\mu_2}(x_2)U_{\mu_1}(x_1),$$

which is a group element in G . When $x_s + \hat{\mu}_s = x_1$, \mathcal{C} forms a closed loop and the corresponding Wilson-line is also referred to as the Wilson-loop. The minimal Wilson-loops that can be formed on a square lattice are those along the the four links surrounding each single plaquette. We refer to them as the “field strength”, denoted as $f(p)$ for the plaquette p .

We should emphasize that we have defined the Wilson-lines without taking the trace for the convenience of discussion, and so it depends on the choice of the starting point for non-abelian groups even if \mathcal{C} is closed. More precisely, we should denote it as $w_{x,\mathcal{C}}$, referred to as the Wilson-loop based at the point x . Similarly, the “field-strength” should be more properly denoted as $f(x, p)$. Evidently, two Wilson-loops along the same closed path \mathcal{C} but based at different points, say at x and x' respectively, differ by a group conjugation. If the two Wilson-lines are w_1 and w_2 , $w_1 = gw_2g^{-1}$, where g is the Wilson-line along the section of \mathcal{C} connecting x' to x .

Nor are the Wilson-lines defined in this manner gauge invariant. Upon a gauge transformation generated by $\omega(x)$, evidently, $w_{x,\mathcal{C}}$ transforms by conjugation as well as

$$w_{x\mathcal{C}} \rightarrow \omega(x)w_{x\mathcal{C}}\omega(x)^{-1}.$$

Consequently, that $w_{x,\mathcal{C}} = \mathbf{1}$ is base point and gauge choice independent. The gauge field configuration is said to have zero field strength if

$$f(p) = \mathbf{1} \quad \text{for } \forall p \in \mathbb{L}.$$

And the space of all such field configurations has been denoted as \mathbb{U}_0 .

We prove the following theorem:

Theorem 1 *On a 2-d $N \times N$ square lattice with periodic boundary conditions, the space $\mathbb{U}_0 = S(G) \times G^{N^2-1}$. The factor G^{N^2-1} corresponds to gauge transformations and $S(G) = \{(g_1, g_2) | g_1g_2 = g_2g_1, g_1, g_2 \in G\}$, which can be considered as T_k^2 foliated by the conjugacy classes of G . Each conjugacy class is a slice generated by acting on points in T_k^2 by gauge transformations, and is therefore a gauge orbit. Here T_k is any one of the maximal tori in G .*

The proof is fairly straightforward. The foundation of all is the following counterpart of the Stoke’s theorem in the non-abelian case. Consider a sub-lattice $\mathbb{D} \subset \mathbb{L}$ consisting of a collection of plaques, and its boundary $\mathcal{C} = \partial\mathbb{D}$, which is always a closed loop, the Wilson-loop $w_{\mathcal{C}}$ is determined by the “field strength” of each plaque in \mathbb{D} as

$$W_{\mathcal{C}} = \prod_{p \in \mathbb{D}} f(p).$$

when the gauge group is Abelian. Such simple formula does not exist for non-abelian groups. For an example, the Wilson-loop around the three adjacent plaques p_1 , p_2 , and p_3 is in general not expressible as the product of $f(p_1)$, $f(p_2)$, and $f(p_3)$ in any order no matter how the base points are chosen. However, a modified “Stoke’s theorem” does exist and it says

Lemma 1 *On any 2-d square lattice, let $\mathbb{D} \subset \mathbb{L}$ be a connected sub-lattice, $\mathcal{C} = \partial\mathbb{D}$, and $x \in \mathcal{C}$ be an arbitrary point on \mathcal{C} ,*

$$w_{x,\mathcal{C}} = \mathcal{P} \prod_{p \in \mathbb{D}} c f(x_p, p) c^{-1}.$$

Here $\mathcal{P} \prod$ denotes a path-ordered product. The actually order is not particularly important here other than that it exists. $c \in G$ is the Wilson-line along some path that connects the base point x to that of each plaque p , i.e. x_p . The choices for c_p ’s also depend on the path-ordering and are usually not arbitrary and mutually dependent.

The theorem can be proven by induction,⁴ as detailed in Appendix A. For now, we use it to prove a simple but powerful fact that is

Lemma 2 *on an arbitrary square lattice, when the gauge field configurations has zero strength, i.e. $f(p) = \mathbf{1}$ for $\forall p \in \mathbb{L}$, the Wilson-line around any closed loop $\mathcal{C} \subset \mathbb{L}$ that belongs to the trivial homology class, i.e. it forms the boundary of a sub-lattice \mathbb{D} in \mathbb{L} , is trivial:*

$$w_{x,\mathcal{C}} = \mathbf{1}.$$

This follows from the Lemma just above since $c\mathbf{1}c^{-1} = \mathbf{1}$.

While the Wilson-lines around trivial loops are trivial, we should examine what might be concluded for those around the non-trivial circles in \mathbb{L} , i.e. those that can not be considered as the boundary of any subsets. In the abelian case, it is fairly obvious that on the zero field strength background, the basic data consists of only two elements, (w_1, w_2) , each corresponding to the Wilson-line along the cycle that winds the periodic lattice once in either direction. Around the more complicated loops, the result depends only on how many times it winds around the lattice in either dimension and can be expressed as a product of w_{μ} .

The situation is somewhat more involved in the non-abelian case. First, we notice that Wilson-loops crossing the lattice in either direction once depends only on its starting point,

⁴See [13, 14] for the counter part of it in the continuum.

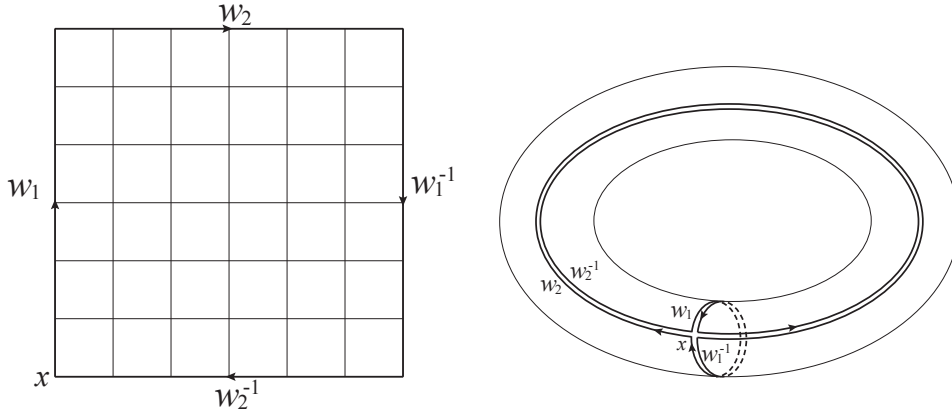


Figure 2.

(4.1) are not gauge invariant. But their gauge transformations are tied together such that any gauge transformation conjugate both of them by a same group element simultaneously. It is well-known that, in any compact Lie group, two commuting elements always lie in a common maximal torus in G . There infinitely many maximal tori, but they form a single conjugation class in the sense that any group conjugation just “rotates” one to another and conversely two different tori can always be converted from one to the other by some conjugation. Therefore, by exploiting this residual gauge freedom, we can always restrict (w_1, w_2) in some pre-chosen maximal torus T_k , and hence almost prove theorem 1.

The remaining steps are standard. Let us embed the finite lattice \mathbb{L} in an infinitely large one \mathbb{L}' that extends in both directions indefinitely. We think of \mathbb{L} as the part bounded by $x \in [0, N-1]$ and $y \in [0, N-1]$ with periodic conditions imposed so that $U_1(i, 0) = U_1(i, N)$ and $U_2(0, i) = U_2(N, i)$ for $i = 0, 1, 2, \dots, N-1$. On \mathbb{L}' , any zero field strength gauge field configuration is 1-1 correspondent to a group valued function $\omega(m)$ with the constraint $\omega(0) = \mathbf{1}$. Given any such ω , the link field

$$U_\mu(x) = \omega(x + \hat{\mu})\omega(x)^{-1}, \quad (4.2)$$

evidently has zero field strengths. On the other hand, given any link field $U_\mu(x)$ with zero field strengths, one may construct the function $\omega(x)$ by picking a path that connects $(0, 0)$ and x formed by the sequence of links $l_{\mu_i}(x_i), i = 1, 2, \dots, s$, where $x_1 = (0, 0)$ and $x = x_s + \hat{\mu}_s$, and assigning

$$\omega(x) = U_{\mu_s}(x_s)U_{\mu_{s-1}}(x_{s-1}) \dots U_{\mu_1}(x_1).$$

The construction is consistent since if one reaches from $(0, 0)$ to x along two different paths and end up with two definitions, ω_1 and ω_2 , $\omega_1 = \omega_2$ followed by Lemma 2.

In general, $\omega(x)$ does not exist on \mathbb{L} unless $\prod_{i=0}^{N-1} U_\mu(x + i\hat{\mu}) = \mathbf{1}$, or $\omega(x)$ thus constructed can not be periodic, and nor is its definition path-independent. Still, nothing prevents us from using (4.2) as a bookkeep device for $U_\mu(x)$ if we have the embedding

picture in mind. In this language, $w_1 = \omega(N, 0)$ and $w_2 = \omega(0, N)$. To impose periodic boundary conditions on the link field demands

$$\begin{aligned}\omega(N, i)\omega(N, 0)^{-1} &= \omega(0, i), & \omega(i, N)\omega(0, N)^{-1} &= \omega(i, 0), \\ \omega(N, 0) &= \omega(N, N)\omega(N, 0)^{-1}, & \omega(N, N)\omega(0, N)^{-1} &= \omega(N, 0), \\ i &= 1, 2, \dots, N-1.\end{aligned}$$

The second line above is equivalent to $w_1 w_2 = w_2 w_1$. Given these constraints, only those field $\omega(x, y)$ for $x, y \in [1, N-1]$ are truly free parameters contributing of factor of G^{N^2-1} . $\omega(N, 0)$ and $\omega(0, N)$ are free subject to the condition that they commute. Therefore, we find

$$\mathbb{U}_0[G] = S(G) \times G^{N^2-1}.$$

Obviously, those factors G^{N^2-1} correspond to free gauge transformations. The space $S(G)$ can always be parameterized by two group element in the maximal torus foliated by the conjugacy classes. As discussed already above, those conjugacy classes are simply the gauge orbits that connect the pair (w_1, w_2) to those located in different copies of the maximal tori of G .

4.2 The $SU(2)$ example

Just for fun, let us investigate the space $\mathbb{U}_0[G]$ and the nontrivial 2-cycles on it for the case $G = SU(2)$ in a bit more details, where everything is readily visualized. For simplicity, let us assume that the fermions are in the fundamental representation. The group $SU(2)$ is a 3-sphere, and an arbitrary $g \in SU(2)$ can be expressed as

$$g = \cos \theta + \hat{n} \cdot \vec{\sigma} \sin \theta,$$

where \hat{n} is a 3-dimensional unit vector and $\vec{\sigma} = (\sigma_1, \sigma_2, \sigma_3)$ are Pauli matrices. θ and \hat{n} together form the spherical coordinates of the S^3 .

The maximal tori of $SU(2)$ are the great circles passing through both north and south poles, or the pairs of meridians that are 180° apart in longitudes, and any two elements in $SU(2)$ that commute must be located in one of those pairs. The conjugation classes are the spheres of equal latitude, and they act on the maximal tori by rotating them about the z -axis.

Apart from the gauge transformations, $\mathbb{U}_0[SU(2)]$ is almost identical to $\mathbb{U}_0[U(1)] \sim T^2$. Choose one maximal torus as an representative, say the one formed by the meridians at 0° and 180° longitude as shown in figure 3 (a). Let us call these pair of meridians the standard meridians below. The “ T^2 part” is described by the two commuting Wilson-lines (w_1, w_2) that live on the standard meridian. We can parametrize their position by (θ_1, θ_2) , which are nothing other than the latitudes of (w_1, w_2) (or 2π minus that when they are on the meridian at 180°).

The conjugacy classes of $SU(2)$ are the spheres at fixed latitudes. In figure 3 (a), they are depicted as circles with one dimension suppressed. Each sphere is a gauge orbits representing the freedom, by gauge transformations, of rotating the standard meridian

about the z -axis arbitrarily. More precisely, the gauge orbits are half of those spheres since any great circle intersects them twice as determined by the order of the Weyl group.

So, as (w_1, w_2) wind around the space \mathbb{U}_0 , they appear to be winding around the S^3 freely subject only to the constraint that they always lie in a common meridian (or the two of 180° apart) at all time. In general, their trajectories can be fairly complicated, curved and wiggled any way they like, but by using the gauge transformations, they can be “straightened” and rotated about the z -axis so to coincide with the standard meridians without any obstructions.

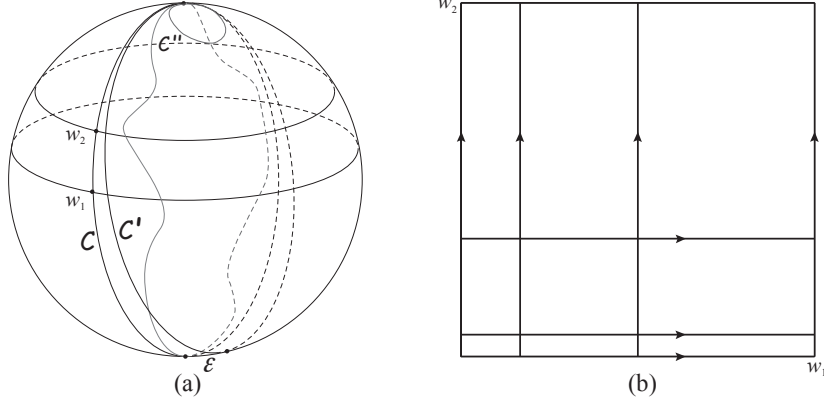


Figure 3.

Because $SU(2) \cong S^3$ and both fundamental groups π_1 and π_2 of S^3 are trivial, one may suspect that it is possible to use the gauge transformations to deformed the trajectories of (w_1, w_2) away from the standard meridians such that the corresponding 2-cycles in \mathbb{U}_0 are always contractible to a single point, in which case $\int_{\tau} \mathcal{F} = 0$. Although our calculation in Sec. 3.2 should be sufficient to prove this false and the said integral can be nonzero tells that some 2-cycles must not be continuously deformed into a point, it is still fun to think about pictorially where the topological obstruction comes about. Let us consider the 2-cycle on \mathbb{U}_0 described by the coordinates $\theta_1, \theta_2 \in [0, 2\pi)$ mentioned above. We illustrate it in figure 3 (b) by a square with θ_μ being its coordinates. Each horizontal line in this square represents a 1-cycle describing w_1 winding around the standard meridians once with w_2 kept fixed. Similarly, each vertical lines represents the same with w_1 and w_2 interchanged. Imagine we attempt to contract this 2-cycle, utilizing the gauge freedoms. Certainly, any small deformation to the 2-cycles leads to a small deformation to the said two kinds of 1-cycles on S^3 represented by the straight lines in 3 (b). Somewhere very close to the horizontal axis, the horizontal lines in 3 (b), after a small deformation, may represent a slightly deformed trajectory for w_1 on S^3 as illustrated by the circle C' in figure 3 (a). Instead of cycling along the standard meridians, now it runs around a slightly shifted circle whose lowest point is away from the south pole by a tiny distance ε . This trajectory is of a very good prospect for being continuously shrunk to a point near the north pole, similar to the circle C'' shown in the same figure.

However, such a deformation is not continuous for the 2-cycle. As w_1 winds around, before the deformation, its longitude stays fixed at either 0° or 180° . It does jump at one point, but evidently this is merely a coordinate singularity. After the small deformation, however, along the circle \mathcal{C}' , its longitude varies smoothly from 0° toward 180° passing by all values in between. At its lowest position, it becomes 90° . This is inevitable the moment one lifts the circle \mathcal{C} off the south-pole by however a small distance. Since w_2 is constrained to stay at the same longitude with w_1 at all time, now the vertical line at the middle part of the square in figure 3 (b) can only represent a 1-cycle where w_2 winds around the meridians at $\pm 90^\circ$ longitude with w_1 kept at its lowest position on \mathcal{C}' . This is a big jump from the cycle represented by exactly the same line when $\varepsilon = 0$ no matter how small ε is. Therefore, the moment one attempts to lift the circle \mathcal{C} off the south pole, a discontinuous operation to the 2-cycle is required.

5 Conclusion and discussions

We found that if we restrict our attention to the zero field strength configurations, the method that proves the existence of a smooth fermion measure for the abelian theories applies straightforwardly to the non-abelian ones on 2-d lattices. This is by no means surprising since in 2-d, essentially only the abelian subgroups participate in the gauge anomalies. The detailed analysis showed the intuition was correct.

For a full proof, we must extend our work to include non-zero field strengths, which requires significant amount of more technical work and we defer this analysis to the future. But it is quite conceivable that the main conclusion remains to hold. As mentioned already, given the assumption that the full space $\mathbb{U}[G]$ is smooth near $\mathbb{U}_0[G]$, for sufficiently small ϵ , the permissible configuration space is expected to be given by $\mathbb{U}_0[G] \times \mathbb{F}$ where the second factor is contractible so that any smooth connection found for zero field strength configurations extends automatically to the full space easily. However, for finite ϵ , this argument fails to apply rigorously, and we hope to report more on this aspect soon.

Physically, of course, a much more interesting question is whether this method can be applied to 4-d. Even for the abelian theories, the problem is much harder. As shown in [7], it appears no longer sufficient to produce the needed anomaly cancellation formula without considering some nontrivial gauge field background that contains monopole, although those considerations can be motivated by the 2-d analysis. The major difficulty is the topology of $\mathbb{U}[G]$ becomes a lot more complicated, and we expect it is even more so when G is non-abelian. However, hints from the known proofs for abelian theories suggest strongly that most complicated topologies are irrelevant for which the integral $\int_{\mathcal{T}} \mathcal{F}$ always vanishes. It is possible that more intelligent study may help to direct the attention to the actually cycles that matter more straightforwardly.

Even when all the said work is done, and “good fermion measure” is known to exist for all chiral gauge theories when anomaly-free conditions satisfied, there remains the challenge of actually implementing it on a computer so real simulations can be done. In principle, the fermion measure can be constructed directly, but the difficulty of doing so grows as the size of the lattice and becomes essentially impractical. Methods suggested in [11, 15]

might be more feasible, where one starts with vector-like theories and, by using the peculiar phases existing only on the lattices, decouple half of the spectrum in the continuum limit such that a chiral theories emerges automatically, bypassing all the explicit constructions. The problem of this strategy is that in general it is very hard to prove for a clear decoupling, particularly on non-trivial gauge field backgrounds. In the meanwhile, one may also worry about the unitary and locality of the emergent theory. However, earlier simulations reported in [10] suggest the theory does maintain its consistency as a standard quantum field theory would, and some newer result reported in [16] is also of great interest.

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A The non-abelian “Stoke’s theorem”

Let $\mathbb{D} \subset \mathbb{L}$ be a sub-lattice of \mathbb{L} formed by a certain set of plaques, and $\mathcal{C} = \partial\mathbb{D}$ be its boundary. We prove Lemma 1 by induction on the number of plaques, n , contained in \mathbb{D} . When $n = 0$, $w_{\mathcal{C},x} = 1$ and the Lemma obviously holds. Suppose it is also true for $n = n_0$, we consider the case for an arbitrary sub-lattice \mathbb{D} that contains $n_0 + 1$ plaques.

First, we note that the statement to prove is independent from the choice of the base point. If x and x' are two different vertices on \mathcal{C} and w_x and $w_{x'}$ are the Wilson-lines along \mathcal{C} based at them respectively. We know that $w_x = s w_{x'} s^{-1}$, where $s \in G$ is the Wilson-line along the section of \mathcal{C} connecting x' to x . If the Lemma holds true for $w_{x'}$ and so $w_{x'} = \mathcal{P} \prod_{p \in \mathbb{D}} c'_p f(p) c'^{-1}_p$, by defining $c_p = s c'_p$, we find $w = \mathcal{P} \prod_{p \in \mathbb{D}} c_p f(p) c^{-1}_p$.

Therefore, for the purpose of proving the Lemma, we may choose an arbitrarily base point of convenience. Given $n_0 + 1 \geq 1$, it is always possible to find a point x on \mathcal{C} , such that the immediate next link in \mathcal{C} starting from x is $l_2(x)$ and the plaque, denoted by p_0 , bounded by the vertices x , $x + \hat{1}$, $x + \hat{1} + \hat{2}$ and $x + \hat{2}$ is contained in \mathbb{D} . For brevity, let us denote the gauge fields on the links from x and surrounding p_0 in the counter-clockwise direction as u_1 , u_2 , u_3 and u_4 , and so $f(p_0) = u_1 u_2 u_3 u_4$.

Consider the sub-lattice \mathbb{D}' that contains n_0 plaques obtained by removing the plaque p_0 from \mathbb{D} . The Wilson-line w' around its boundary based at $x + \hat{1}$, by the induction hypothesis, is given by

$$w' = \mathcal{P} \prod_{p \in \mathbb{D}'} c'_p f(p) c'^{-1}_p,$$

where c'_p is the Wilson-line along some path that connects the base point $x + \hat{1}$ to that of the plaque $p \in \mathbb{D}'$.

Independent from how the loop \mathcal{C} may close itself at the point x , given the above assumptions, it is evident that

$$w = u_4 u_3 u_2 w' u_1 = f(p_0) u_1^{-1} w' u_1 .$$

Define $c_p = u_1^{-1} c'_p$ for $p \in \mathbb{D}'$ and $c_{p_0} = \mathbf{1}$, and they obviously are the Wilson-lines along some paths that connect the point x to the base point of each plaquette in \mathbb{D} . Surely

$$w = \mathcal{P} \prod_{p \in \mathbb{D}} c_p f(p) c_p^{-1} ,$$

which completes the proof.

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